IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Re: Information Disclosure

Statement

Examiner: not yet assigned

) Our Ref: B-4517 619563-3

Group: 2818

) Date: April 19,

Aicants: Siani Lynne Pearson, et al.

Serial No.: 10/080,479

Filed: February 22, 2002

For: "METHOD AND APPARATUS FOR ASCERTAINING THE STATUS OF A

DATA PROCESSING ENVIRONMENT"

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

APR 2 4 2002

In accordance with the Applicants' duty to disclose information may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified)

before issuing the first Office Action on the merits. enclosing herewith a copy of each document listed on the enclosed

Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the British Search Reports of corresponding United Kingdom application no. GB 0104673.9 and related United Kingdom application nos. GB 0104670.5 and GB 0104584.8 (1 page each). The documents also include those cited in the International Search Reports of PCT Application No. PCT/GB00/02004 (4 pages) and PCT Application No. PCT/GB00/02003 (4 pages). A copy of each Search Report is enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no

Information Disclosure Statement USSN 10/080,479 April 19, 2002 Page 2

official fees should be due; and this IDS should be considered on the If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be (Notice of construed as an admission against interest in any manner. January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an package addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on April 19, 2002 by Suzanne Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (2 pages) Copies of Search Reports (11 pages) Copy of documents listed on Form PTO-1449 (modified) Information Disclosure Statement
SSN 10/080,479
April 19, 2002
Page 3

Form PTO-1449 (Modified)	<b>ATTY DOCKET NO.</b> B-4517 619563-3	<b>U.S. SERIAL NO.</b> 10/080,479		
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Siani Lynne Pearson, et al.			
	FILING DATE February 22, 2002	<i>group</i> 2818		

## U.S. PATENTS DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,359,659	10/94	Rosenthal	380	4	
	5,404,532	4/95	Allen et al.	395	700	
	5,421,006	5/95	Jablon et al.	395	575	
	5,572,590	11/96	Chess	380	4	
	5,619,571	4/97	Sandstrom et al.	380	4	
	5,809,145	9/98	Slik et al.	380	25	
	5,844,986	12/98	Davis	380	4	
	6,330,670 B1	12/01	England et al.	713	2	1/8/99

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
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Information Disclosure Statement
ADEMOSSN 10/080,479
April 19, 2002

Page 4

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"System for Detecting Undesired Alteration of Software," IBM Technical Disclosure Bulletin, Vol. 32, No. 11, pp. 48-50 (April 1990).
Bontchev, V., "Possible Virus Attacks Against Integrity Programs and How to Prevent Them," <i>Virus Bulletin Conference</i> , pp. 131-141 (September 1992).
Radai, Y., "Checksumming Techniques for Anti-Viral Purposes," Virus Bulletin Conference, pp. 39-68 (September 1991).
Intel, "Wired for Management Baseline specification v2.0," Boot Integrity Services Application Programming Interface Version 1.0, 64 pages (December 28, 1998).
"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," ISO/IEC 9798-3, 6 pages (1998).
"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," ISO/IEC 11770-3, pp. 1-23 and Annexes A-E (1999).
The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC," 9 pages, located at Internet address <a href="www.trustedpc.org/home/home.html">www.trustedpc.org/home/home.html</a> (January 2000).
Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.